

## FINGERPRINTS

**NOTE: The Arizona Corporation Commission Securities Division (“Securities Division”) no longer provides fingerprinting services.**

To Assist Applicants:

Please be advised that fingerprints are required for securities industry applicants pursuant to A.R.S. § 44-1945.A.8, A.R.S. § 44-3153.C.6, A.R.S. § 44-3156.C.3, and Rule R14-4-122. The text of the referenced Statutes/Rule may be viewed on the Securities Division Web Page: <http://www.azcc.gov/Divisions/Securities/>

Please contact your local law enforcement agency or refer to the “Yellow Pages” of the telephone book for private fingerprinting services. We suggest that you contact the fingerprinting organization, firm or individual to inquire about the cost, business hours, restrictions, and information or documents you may be required to bring with you. You may be required to make an appointment.

**FINGERPRINT CARD:** Use fingerprint card provided by the Securities Division. All spaces must be completed in **black ink** only. Be sure the information is within the allotted space and not over the line. You must clearly print all information on the card except for the signature. If the card is incomplete, it will be returned to the Firm/Applicant.

**FEE:** A processing fee of \$29.00\* must accompany each fingerprint card. Checks must be made payable to the Arizona Corporation Commission. **\*Effective October 1, 2007, the fee for processing a fingerprint card is \$24.00.**

**Fingerprint card(s) may be obtained by contacting the Securities Division:**

1300 West Washington, Third Floor  
Phoenix, Arizona  
(602) 542-4242  
In-State Toll Free (866) 837-4399  
Email: [securitiesdiv@azcc.gov](mailto:securitiesdiv@azcc.gov)